

# Submittals List



Department of Executive Services  
Finance and Business Operations Division  
**Procurement and Contract Services Section**  
206-684-1681 TTY Relay: 711

**Contract Title:** Investment Banking Services

**Contract Number:** RF1 141-05RLD

**Submittal Deadline:** September 8, 2005

**Addenda Issued:** 1

**Requesting Dept/Div:** DES/FBO

**Buyer Name:** Roy L. Dodman

**Telephone Number:** 206-263-4266

**Award Date:** 11/23/05

|  | Bidders/Proposer                   | Rank | Buyer Comments:                |
|--|------------------------------------|------|--------------------------------|
|  | Lehman Brothers Inc.               | T1   | Selected as Senior Underwriter |
|  | Merrill Lynch                      | T1   | Selected as Senior Underwriter |
|  | Citigroup Global Markets Inc.      | T1   | Selected as Senior Underwriter |
|  | Goldman, Sachs & Co                | T1   | Selected as Senior Underwriter |
|  | Morgan Stanley                     | 5    |                                |
|  | UBS Financial Services, Inc.       | 6    |                                |
|  | J. P. Morgan Securities, Inc.      | 7    |                                |
|  | Bank of America Securities, LLC    | 8    |                                |
|  | A.G. Edwards & Sons, Inc.          | 9    |                                |
|  | Siebert Brandford Shank & Co., LLC | 10   |                                |
|  |                                    |      |                                |
|  | Bear, Sterns & Co. Inc.            | 11   | Submitted as co-manager only   |
|  | De La Rosa                         | 12   | Submitted as co-manager only   |
|  |                                    |      |                                |
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